

# WINJAMMER FILING

**INITIAL**

**End Date:5/29/2025**

**Firm Name:Wells Fargo Securities LLC**

**Form:Daily Seg - FOCUS II - Daily**

**Submit Date:5/30/2025**

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**Daily Segregation - Cover Page**

Name of Company

**Wells Fargo Securities LLC**

Contact Name

**James Gnall**

Contact Phone Number

**917-699-6822**

Contact Email Address

**james.w.gnall@wellsfargo.com**

FCM's Customer Segregated Funds Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of customer segregated funds required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of customer segregated funds required between:% and%.

**135,000,000**

**0**

**0 0**

**0 0**

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of customer secured funds required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of customer secured funds required between:% and%.

**25,000,000**

**0**

**0 0**

**0 0**

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of cleared swaps customer collateral required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of cleared swaps customer collateral required between:% and%.

**485,000,000**

**0**

**0 0**

**0 0**

Attach supporting documents CH

**INITIAL****End Date:5/29/2025****Firm Name:Wells Fargo Securities LLC****Form:Daily Seg - FOCUS II - Daily****Submit Date:5/30/2025****Daily Segregation - Secured Amounts**

## Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

- |    |   |   |
|----|---|---|
| 1. | Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers                           | <u>0</u> [7305]                               |
|    | A. Cash   | <u>144,089,233</u> [7315]                     |
|    | B. Securities (at market)   | <u>84,694,364</u> [7317]                      |
| 2. | Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade                 | <u>8,866,223</u> [7325]                       |
| 3. | Exchange traded options   |   |
|    | a. Market value of open option contracts purchased on a foreign board of trade                            | <u>762</u> [7335]                             |
|    | b. Market value of open contracts granted (sold) on a foreign board of trade                              | <u>0</u> [7337]                               |
| 4. | Net equity (deficit) (add lines 1. 2. and 3.)   | <u>237,650,582</u> [7345]                     |
| 5. | Account liquidating to a deficit and account with a debit balances - gross amount                         | <u>1,739,749</u> [7351]                       |
|    | Less: amount offset by customer owned securities  | <u>-1,717,111</u> [7352] <u>22,638</u> [7354] |
| 6. | Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) | <u>237,673,220</u> [7355]                     |
| 7. | Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.            | <u>237,673,220</u> [7360]                     |

## FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

- |     |   |  |
|-----|---|--|
| 1.  | Cash in banks   |  |
|     | A. Banks located in the United States   | <u>126,443,833</u> [7500]                          |
|     | B. Other banks qualified under Regulation 30.7  | <u>11,398,040</u> [7520] <u>137,841,873</u> [7530] |
| 2.  | Securities  |  |
|     | A. In safekeeping with banks located in the United States   | <u>28,956,978</u> [7540]                           |
|     | B. In safekeeping with other banks qualified under Regulation 30.7                                      | <u>0</u> [7560] <u>28,956,978</u> [7570]           |
| 3.  | Equities with registered futures commission merchants   |  |
|     | A. Cash   | <u>115,294,613</u> [7580]                          |
|     | B. Securities   | <u>13,928,466</u> [7590]                           |
|     | C. Unrealized gain (loss) on open futures contracts   | <u>4,421,226</u> [7600]                            |
|     | D. Value of long option contracts   | <u>762</u> [7610]                                  |
|     | E. Value of short option contracts  | <u>0</u> [7615] <u>133,645,067</u> [7620]          |
| 4.  | Amounts held by clearing organizations of foreign boards of trade                                       |  |
|     | A. Cash   | <u>0</u> [7640]                                    |
|     | B. Securities   | <u>0</u> [7650]                                    |
|     | C. Amount due to (from) clearing organization - daily variation   | <u>0</u> [7660]                                    |
|     | D. Value of long option contracts   | <u>0</u> [7670]                                    |
|     | E. Value of short option contracts  | <u>0</u> [7675] <u>0</u> [7680]                    |
| 5.  | Amounts held by members of foreign boards of trade  |  |
|     | A. Cash   | <u>14,426,935</u> [7700]                           |
|     | B. Securities   | <u>41,808,919</u> [7710]                           |
|     | C. Unrealized gain (loss) on open futures contracts   | <u>1,571,422</u> [7720]                            |
|     | D. Value of long option contracts   | <u>0</u> [7730]                                    |
|     | E. Value of short option contracts  | <u>0</u> [7735] <u>57,807,276</u> [7740]           |
| 6.  | Amounts with other depositories designated by a foreign board of trade                                  | <u>0</u> [7760]                                    |
| 7.  | Segregated funds on hand  | <u>0</u> [7765]                                    |
| 8.  | Total funds in separate section 30.7 accounts   | <u>358,251,194</u> [7770]                          |
| 9.  | Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | <u>120,577,974</u> [7380]                          |
| 10. | Management Target Amount for Excess funds in separate section 30.7 accounts                             | <u>25,000,000</u> [7780]                           |
| 11. | Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target                      | <u>95,577,974</u> [7785]                           |

**INITIAL****End Date:5/29/2025****Firm Name:Wells Fargo Securities LLC****Form:Daily Seg - FOCUS II - Daily****Submit Date:5/30/2025****Daily Segregation - Segregation Statement**

## SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

|     |   |  |
|-----|---|--|
| 1.  | Net ledger balance  |  |
|     | A. Cash   | <u>5,067,314,404</u> [7010]                    |
|     | B. Securities (at market)   | <u>3,351,075,526</u> [7020]                    |
| 2.  | Net unrealized profit (loss) in open futures contracts traded on a contract market          | <u>-301,896,857</u> [7030]                     |
| 3.  | Exchange traded options   |  |
|     | A. Add market value of open option contracts purchased on a contract market                 | <u>1,364,884,293</u> [7032]                    |
|     | B. Deduct market value of open option contracts granted (sold) on a contract market         | <u>-1,231,605,789</u> [7033]                   |
| 4.  | Net equity (deficit) (add lines 1, 2 and 3)   | <u>8,249,771,577</u> [7040]                    |
| 5.  | Accounts liquidating to a deficit and accounts with debit balances - gross amount           | <u>72,966,722</u> [7045]                       |
|     | Less: amount offset by customer securities  | <u>-72,955,414</u> [7047] <u>11,308</u> [7050] |
| 6.  | Amount required to be segregated (add lines 4 and 5)  | <u>8,249,782,885</u> [7060]                    |
|     | FUNDS IN SEGREGATED ACCOUNTS  |  |
| 7.  | Deposited in segregated funds bank accounts   |  |
|     | A. Cash   | <u>352,318,544</u> [7070]                      |
|     | B. Securities representing investments of customers' funds (at market)                      | <u>700,000,000</u> [7080]                      |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <u>120,284,802</u> [7090]                      |
| 8.  | Margins on deposit with derivatives clearing organizations of contract markets              |  |
|     | A. Cash   | <u>3,138,915,094</u> [7100]                    |
|     | B. Securities representing investments of customers' funds (at market)                      | <u>1,175,932,866</u> [7110]                    |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <u>3,230,790,724</u> [7120]                    |
| 9.  | Net settlement from (to) derivatives clearing organizations of contract markets             | <u>49,753,283</u> [7130]                       |
| 10. | Exchange traded options   |  |
|     | A. Value of open long option contracts  | <u>1,364,884,293</u> [7132]                    |
|     | B. Value of open short option contracts   | <u>-1,231,605,789</u> [7133]                   |
| 11. | Net equities with other FCMs  |  |
|     | A. Net liquidating equity   | <u>0</u> [7140]                                |
|     | B. Securities representing investments of customers' funds (at market)                      | <u>0</u> [7160]                                |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <u>0</u> [7170]                                |
| 12. | Segregated funds on hand  | <u>0</u> [7150]                                |
| 13. | Total amount in segregation (add lines 7 through 12)  | <u>8,901,273,817</u> [7180]                    |
| 14. | Excess (deficiency) funds in segregation (subtract line 6 from line 13)                     | <u>651,490,932</u> [7190]                      |
| 15. | Management Target Amount for Excess funds in segregation                                    | <u>135,000,000</u> [7194]                      |
| 16. | Excess (deficiency) funds in segregation over (under) Management Target Amount              | <u>516,490,932</u> [7198]                      |
|     | Excess  |  |

**INITIAL****End Date:5/29/2025****Firm Name:Wells Fargo Securities LLC****Form:Daily Seg - FOCUS II - Daily****Submit Date:5/30/2025****Daily Segregation - Swaps Statement**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS  
AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

|   |   |
|---|---|
| Cleared Swaps Customer Requirements   |   |
| 1. Net ledger balance   |   |
| A. Cash   | <u>-5,855,327,880</u> [8500]                |
| B. Securities (at market)   | <u>11,468,700,938</u> [8510]                |
| 2. Net unrealized profit (loss) in open cleared swaps   | <u>10,741,089,625</u> [8520]                |
| 3. Cleared swaps options  |   |
| A. Market value of open cleared swaps option contracts purchased  | <u>0</u> [8530]                             |
| B. Market value of open cleared swaps option contracts granted (sold)                                       | <u>0</u> [8540]                             |
| 4. Net Equity (deficit) (add lines 1, 2, and 3)   | <u>16,354,462,683</u> [8550]                |
| 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount                        | <u>244,761,140</u> [8560]                   |
| Less: amount offset by customer owned securities  | <u>-244,761,114</u> [8570] <u>26</u> [8580] |
| 6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)                         | <u>16,354,462,709</u> [8590]                |
| Funds in Cleared Swaps Customer Segregated Accounts   |   |
| 7. Deposited in cleared swaps customer segregated accounts at banks   |   |
| A. Cash   | <u>693,973,501</u> [8600]                   |
| B. Securities representing investments of cleared swaps customers' funds (at market)                        | <u>700,000,000</u> [8610]                   |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                       | <u>815,528,290</u> [8620]                   |
| 8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts |   |
| A. Cash   | <u>2,880,405,602</u> [8630]                 |
| B. Securities representing investments of cleared swaps customers' funds (at market)                        | <u>1,475,302,647</u> [8640]                 |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                       | <u>10,653,172,648</u> [8650]                |
| 9. Net settlement from (to) derivatives clearing organizations  | <u>-106,683,248</u> [8660]                  |
| 10. Cleared swaps options   |   |
| A. Value of open cleared swaps long option contracts  | <u>0</u> [8670]                             |
| B. Value of open cleared swaps short option contracts   | <u>0</u> [8680]                             |
| 11. Net equities with other FCMs  |   |
| A. Net liquidating equity   | <u>0</u> [8690]                             |
| B. Securities representing investments of cleared swaps customers' funds (at market)                        | <u>0</u> [8700]                             |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                       | <u>0</u> [8710]                             |
| 12. Cleared swaps customer funds on hand  |   |
| A. Cash   | <u>0</u>                                    |
| B. Securities representing investments of cleared swaps customers' funds (at market)                        | <u>0</u>                                    |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                       | <u>0</u> [8715]                             |
| 13. Total amount in cleared swaps customer segregation (add lines 7 through 12)                             | <u>17,111,699,440</u> [8720]                |
| 14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)          | <u>757,236,731</u> [8730]                   |
| 15. Management Target Amount for Excess funds in cleared swaps segregated accounts                          | <u>485,000,000</u> [8760]                   |
| 16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management         | <u>272,236,731</u> [8770]                   |